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Synthesis and characterization of a new class of unsymmetrical squaraine dyes

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Abstract

By starting from several types of nucleophilic compounds XH, such as electron-rich ethylenic, aromatic, or heteroaromatic compounds, and either sqaric acid dichloride QCl₂ or dialkyl squarates Q(OR)₂ as activated squaric acid derivatives, some new semisquaric acid derivatives QXOH have been prepared. These compounds condense with a further nucleophilic compound YH to yield unsymmetrically substituted squaraines XQY whose analytical and spectroscopic data are recorded. The squaraines XQY are deeply coloured, strongly solvatochromic compounds whose longest-wavelength absorption maxima are hypsochromic shifted in comparison to the ones of the corresponding symmetrically substituted squaraines XQX and YQY. The shift can be correlated with the donor strength of the nucleophilic compounds XH or YH used as educts and, hence, with their reactivity in the condensation reaction with squaric acid and its derivatives. © 2001 Elsevier Science Ltd. All rights reserved.

Keywords: Dyes; Heterocycles; Semisquaric acid derivatives; Unsymmetrical squaraines; UV-vis; NIR spectroscopy

1. Introduction

Electron-rich benzene or alkene derivatives as well as electron-rich heteroaromatic compounds of the general formula **XH** (or **YH**), such as the dialkylanilines **AH** and **BH**, the phenole derivatives **CH**, the heterocyclic methylene bases **DH**, or the pyrrole derivatives **EH** and **FH**, resp. are able to condense with squaric acid **Q(OH)**₂ due to their strong nucleophilic character [1]. The reactions are usually performed in protic solvents such as acetic acid or

n-alkanols or in mixtures of these with aromatic

Depending on the structure of the educts used and the condition applied, different types of condensation products are formed. Thus, as bis-condensation products of squaric acid with a nucleophilic compound **XH** the compounds **XQX** and **QX2** can be formed. Whereas the first formula denotes a product with a 1,3-linking of its nucleophilic group at the squaric moiety the second formula denotes a product with a 1,2-linking of the same group at the squaric moiety. As known, the most interesting types of such condensation products are the 1,3-substituted squaraines of the general structure

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hydrocarbons like toluene. As reactive intermediates diacetoxy or dialkyl squarates of the general formula **Q(OR)**₂ are assumed [2] (Scheme 1). Depending on the structure of the educts used and

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 \mathbf{XQX} . They exhibit, in contrast to their 1,2-isomers $\mathbf{QX_2}$ a deep and intense colour as well as a low solubility in organic solvents. Therefore, such squaraines \mathbf{XQX} can be used as pigment dyes, e.g. in electrophotograpic materials [3] (Scheme 2).

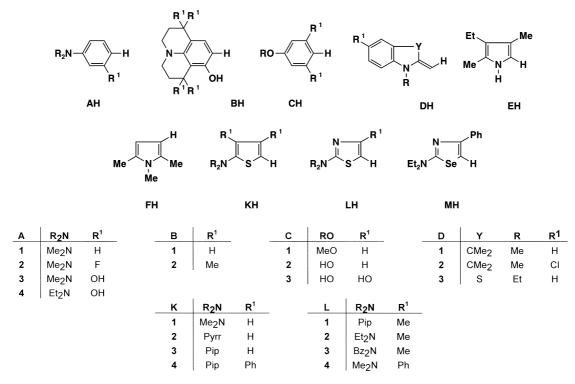
Recently unsymmetrically substituted squaraines of the general structure XOY as 1:1:1 condensation products of squaric acid with equivalent amounts of XH and YH condensed at the 1,3-position of Q(OR), have also received a special interest. For example, unsymmetrically substituted squaraines AQC derived from different aryl-substituted N,N-dialkylanilines AH and the semisquaric acid QC¹OH exhibit intense absorption bands at about 550-600 nm in solution and at about 400-800 nm with an increased absorptivity at about 400-600 nm in dispersed or sublimated form [4]. Owing to their intense absorptions in the visible region such compounds have been claimed to apply as spectral sensitizers for electrophotographic materials, especially in copiers and multifunctional copier-printers [3,5]. Unsymmetrically substituted squarines derived from two different substituted N,N-dialkylanilines AH and A'H have been claimed, due to their intense absorptions at about 600-800 nm in dispersed or sublimated form, to apply as spectral sensitizers for laser diode driven photoactive materials, especially for electrophotographic materials in laser printers [4d]. Very recently, it has been demonstrated that some types of unsymmetrical squaraines XQY, e.g. squaraines of the general formula C^1QD^1 , exhibit large second order hyperpolarizabilities which allow their use for manufacturing materials with high non-linear optical activities [6]. Furthermore, unsymmetrically substituted squaraines AQC¹ have been used as fluorescence indicators for measurement the solvent polarity [7]. Unsymmetrically substituted squaraines DQD' derived from different heterocyclic methylene bases DH and D'H can be used, in so far as they are specifically functionalised at one of their heterocyclic moieties, as labels for indicating special biological substrates, or, as selfassembled sensors for the detection of metal ions by surface plasmon resonances [8].

Although for the synthesis of unsymmetrically substituted squaraines XQY the simultaneous condensation of squaric acid Q(OH)₂ with two

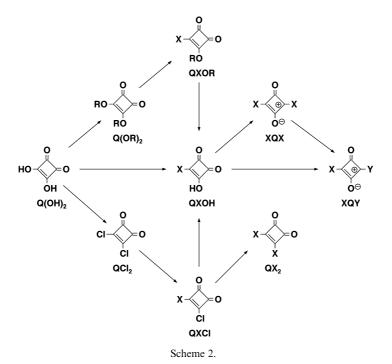
different nucleophiles XH and YH seems to be the simplest way, it does not usually work. This is due to the fact that either one of the symmetrically substituted squaraine is exclusively formed or mixtures of all the possible symmetrically and unsymmetrically substituted products XQX, XQY and **YOY**, respectively, were obtained their separation into their individual components requires a high expense on time and materials. Moreover, the reaction of a nucleophilic compound YH with a semisquaric acid QXOH also frequently fails because the required semisquaric acids QXOH are not easily available from their educts Q(OH)₂ and XH by a 1:1 condensation step. For example, by condensation of squaric acid Q(OH)₂ with the pyrrole derivative EH only a low amount of the corresponding semisquaric acid QEOH was obtained while a larger amount of the corresponding squaraine EQE was formed. Indeed, the separation of the semisquaric acid QEOH from the squaraine derivative **EQE** simultaneously formed was difficult and has been performed only by means of special procedures [9].

The facts referred to agree with our efforts to prepare unsymmetrically substituted squaraines by starting from $Q(OH)_2$ and mixtures of two reactive amino-substituted heterocyclic compounds KH–MH, which recently have been successfully used for the synthesis of corresponding squaraines KQK–MQM [10–12]. Although the symmetrically substituted squaraines KQK–MQM have been obtained in detectable extents, the desired semisquaric acids QKOH–QMOH could not be obtained thereby, even if the components mentioned are used in a stoichiometric ratio.

Due to the failure to prepare semisquaric acids **QXOH** by means of a simple condensation of the educts **Q(OH)**₂ and **XH**, an intensive search for a better synthetic availability of these compounds has been undertaken. One of the synthetic routes which was found and mainly applied for the synthesis of aryl-substituted semisquaric acids **QCOH** or **QAOH** consists in the cycloaddition reaction of reactive aryl ketene derivatives or alkenes with suitable olefins [4a,4d,13]. (However, under such conditions, but without use of any alkene, a dimerisation reaction of the reactive ketene intermediate occurs giving rise, as demonstrated in the experimental part, to



Scheme 1.



the formation of symmetrically substituted squaraines, such as the compound C^1QC^1) [14]. Further methods consist of the reaction of arylacetylenes with tetrahalogenoethylenes [15], the reaction of the parent semisquaric acid **QHOH** (Moniliformin) [13a,16] with aryldiazonium salts, [17] or the reaction of metal organyls with fluoro-substituted cyclobutene derivatives [1b,18].

The most useful method for preparing semisquaric acids QXOH which has been reported in the literature, however, consists in the reaction of an activated squaric acid derivative with an nucleophilic component XH. As activated squaric acid derivatives, the dialkyl squarates Q(OEt)2 and Q(OBu)₂ or the squaric acid dichloride QCl₂ have been used. Thus, by starting from Q(OEt)₂ [20] or $Q(OBu)_2$ [2a] and the nucleophiles A^1H , DH, or EH the corresponding semisquarates QA¹OEt, [21] **QD**²**OEt**, **QD**³**OBu**, [8a,19] and **QEOEt**, [9] respectively, have been obtained in satisfactory yields. By starting with QCl₂ [22] and the educt A¹H, the semisquaric chlorides QA¹Cl [1c,4d,21] have been prepared. The products so obtained can primarily be transformed into the corresponding semisquaric acids QXOH by heating them in aqueous acetic acid.

2. Results and discussion

In continuing our efforts to prepare unsymmetrical squaraines derived from e.g. the aminosubstituted heterocycles KH-MH [10-12], it was found that the condensation of activated squaric acid derivatives Q(OR)₂ with these nucleophiles is also suitable for the synthesis of semisquaric acids **QKOH** derived from the 2-aminothiophenes **KH** (see Scheme 1). Thus, by condensing of dibutyl squarate Q(OBu)₂ with stoichiometric amounts of 2-N,N-dimethylaminothiophene K¹H, the corresponding *n*-butyl semisquarate QK^1OBu has been obtained in satisfactory yields. Its transformation into the desired semisquaric acid **QK¹OH** has been affected by means of its heating in a mixture of aqueous acetic acid and hydrochloric acid, as mentioned above.

Furthermore, the same semisquaric acid **QK¹OH** has been obtained by starting from squaric acid

dichloride QCl₂ also. Thus, by heating of QCl₂ with 2-N,N-dimethylaminothiophene K¹H in a equimolar ratio without using any catalyst (which is claimed e.g. for the synthesis of QA¹Cl from QCl₂ and A¹H) [21] the corresponding semisquaric chloride QK¹Cl has been obtained. By its heating in aqueous acetic acid the corresponding semisquaric acid QK¹OH has been obtained in satisfactory yield.

Analogously, by condensing squaric acid dichloride QCl₂ with the 2-aminothiazole derivative L⁴H in a 1:1 ratio, the corresponding semisquaric chloride QL⁴Cl has been obtained in satisfactory yield. It can be transformed by heating in aqueous acetic acid into the corresponding semisquaric acid QL⁴OH.

However, by changing the stoichiometric ratio of the components different results have been obtained. Thus, by heating 2-dimethylaminothiophene K¹H with squaric acid dichloride QCl₂ in a 2:1 ratio, the symmetrically substituted condensation product $Q(K^1)_2$ has been obtained. The same compound has also been obtained by heating QK¹Cl with a second equivalent of K¹H. Other than its isomeric squaraine derivative K^1QK^1 , which is available by condensation of squaric acid Q(OH)₂ with 2-dimethylaminothiophene K^1H , the compound Q(K1)₂ exhibits a long-wavelength absorption maximum at 523 nm and absorbs, in accordance with the absorption properties of other bis-condensation products of the general formula QX₂ in which their carbocyclic or heterocyclic moieties X are linked in 1,2-position at the squaric moiety, [2a,9,15] at considerably shorter wavelengths than the corresponding squaraine derivative K^1QK^1 .

In Table 1 some of the characteristic spectral data of the new semisquaric acids **QXOH** and semisquaric acid derivatives **QXOBu** and **QXCI** prepared are summarised. As can be seen, all the compounds exhibit in the IR region two intense bands at about 1700–1800 cm⁻¹, which can be attributed to both of their CO groups. Remarkably, the wavelength difference between both these bands varies significantly with their substitution pattern and ranges from about 30 cm⁻¹ to about 110 cm⁻¹.

The semisquaric acids **QXOH** and semisquaric acid derivatives **QXOBu** and **QXCl** exhibit in their ¹H-NMR spectra characteristic signals which can

Table 1
Spectral properties of semisquaric acids QXOH and their derivatives QXCl and QXOR

QXCI/QXOR	λ_{max} (nm) (in methanol)	ν (cm ⁻¹) (in KBr)	$\Delta\lambda$ (cm ⁻¹)	¹ H-NMR, δ-values (ppm) (assignment) (in DMSO- d_6)
QA ² OH	273	1768, 1710	58	2.99 (s, 6H, NCH ₃), 6.55 (dd, 1H, CH), 6.92 (dd, 1H, CH), 7.95 (dd, 1H, CH)
QC¹Cl	333ª	1806, 1786, 1765	20, 41	3.92 (s, 3H, OCH ₃), 7.18 (d, 2H, CH), 8.22 (d, 2H, CH) (in acetonitrile- <i>d</i> ₃)
QC¹OH	333	1788, 1718	70	3.82 (s, 3H, OCH ₃), 7.09 (d, 2H, CH), 7.95 (d, 2H, CH)[17]
QC ² Cl	333 ^a	1788, 1764, 1750	24, 33	6.86 (d, 2H, CH), 7.85 (d, 2H, CH), 11.15 (s, 1H, OH)
QC ² OH	335	1792, 1713	79	6.90 (d, 2H, CH), 7.87 (d, 2H, CH), 10.23 (broad, 2H, OH)
QD ¹ OBu	422	1773, 1712	61	0.99 (t, 3H, CH ₃), 1.52 (m, 2H, CH ₂), 1.61 (s, 6H, CH ₃), 1.87 (m, 2H, CH ₂), 3.44 (s, 3H, NCH ₃)
				4.85 (t, 2H, OCH ₂), 5.40 (s, 1H, CH), 7.07–7.38 (m, 4H, CH) (in acetone- <i>d</i> ₆)
QD¹OH	422	1777, 1709	86	1.56 (s, 6H, CH ₃), 3.34 (s, 3H, NCH ₃), 5.45 (s, 1H, CH), 6.98- 7.39 (m, 4H, CH)
QEOBu	383	1788, 1712	76	0.92 (t, 3H, CH ₃), 0.97 (t, 3H, CH ₃), 1.42 (m, 2H, CH ₂), 1.79 (m, 2H, CH ₂), 2.23 (s, 3H, CH ₃),
				2.28 (s, 3H, CH ₃), 2.33 (q, 2H, CH ₂), 4.78 (t, 2H, OCH ₂), 10.72 (s, 1H, NH)
QEOH	378	1790, 1682	108	0.98 (t, 3H, CH ₃), 2.22 (s, 3H, CH ₃), 2.33 (s, 3H, CH ₃), 2.34 (q, 2H, CH ₂), 10.55 (s, 1H, NH)
QFOBu	348	1777, 1718	59	0.98 (t, 3H, CH ₃), 1.51 (m, 2H, CH ₂), 1.86 (m, 2H, CH ₂), 2.14 (d, 3H, CH ₃), 2.65 (s, 3H, CH ₃),
				3.49 (s, 3H, NCH ₃), 4.85 (t, 2H, OCH ₂), 6.32 (d, 1H, CH) (in acetone- <i>d</i> ₆)
QFOH	347	1787, 1704, 1695	83, 92	2.17 (d, 3H, CH ₃), 2.61 (s, 3H, CH ₃), 3.41 (s, 3H, NCH ₃), 6.31 (d, 1H, CH)
QK¹Cl	437 ^a	1785, 1767, 1750	18, 35	3.22 (s, 6H, NCH ₃), 6.56 (d, 1H, CH), 7.94 (d, 1H, CH)
QK ¹ OBu	434	1777, 1716	61	0.98 (t, 3H, CH ₃), 1.51 (m, 2H, CH ₂), 1.85 (m, 2H, CH ₂), 3.15 (s, 6H, NCH ₃), 4.83 (t, 2H,
				OCH ₂), 6.23 (d, 1H, CH), 7.65 (d, 1H, CH) (in acetone- d_6)
QK ¹ OEt	433	1778, 1715	63	1.44 (t, 3H, CH ₃), 3.10 (s, 6H, NCH ₃), 4.80 (q, 2H, CH ₂), 6.29 (d, 1H, CH), 7.61 (d, 1H, CH)
QK¹OH QL⁴Cl QL⁴OH	425 423 ^a 396	1781, 1690 1782, 1761, 1745 1766, 1715	91 21, 37 51	3.05 (s, 6H, NCH ₃), 6.19 (d, 1H, CH), 7.53 (d, 1H, CH) 3.29(s, 6H, NCH ₃), 7.53–7.60 (m, 5H, CH) (in acetone- <i>d</i> ₆) 3.17 (s, 6H, NCH ₃), 7.33–7.55 (m, 5H, CH)

^a Measured in acetonitrile.

be attributed to the substituents at their heterocyclic moieties and, as far as the *n*-butyl semi-squarates **QXOBu** are considered, to their butoxy moieties. In contrast, the signals for the protons at the OH moiety in the semisquaric acids **QXOH** can, in most cases, not be detected unambiguously due to presence of traces of water in the solvents used.

The availability of some of the new semisquaric acids **QXOH** and semisquaric acid derivatives **QXOBu** and **QXCI** allows us to prepare a series of unsymmetrically substituted squaraines **XQY** hitherto unknown and to study their physical and chemical properties. The synthesis of such compounds normally succeeds by heating a semisquaric acid **QXOH** with a suitable nucleophile **YH** by means of one of the following procedures A–C. The required semisquaric acids **QXOH** are available by the hydrolysis of the corresponding semisquaric acid derivatives **QXOBu** or **QXCI**, as mentioned above.

According to method A both the components QXOH and YH are mixed in a stoichiometric ratio and heated together in a 1-butanol/toluene mixture until the condensation (while being performed it is controlled by thin-layer chromatography) is complete. According to method B, the required nucleophilic compound YH is dissolved or suspended in a toluene/1-butanol mixture and added dropwise or in small portions, respectively, to the hot solution of the corresponding semisquaric acid QXOH in the same solvent. Finally, according to method C the semisquaric acid QXOH is dissolved or suspended in a toluene/1-butanol mixture and added dropwise or in small portions, respectively, to the boiling solution of the corresponding nucleophilic compound YH in the same solvent mixture.

Whereas method A is better suited for preparing sparingly soluble dyes, e.g. unsymmetrical squaraines **XQY** derived from the pyrrole **FH** and from the *N*,*N*-dialkylanilines **AH** or the julolidene derivative **BH**, method B is better suited for preparing unsymmetrical substituted squaraines **XQY** derived from the highly reactive heterocycles **EH** and **KH** as well as from the heterocyclic methylene bases **DH**. Method C is preferred by starting with the less reactive nucleophiles, such as with 2-*N*,*N*-

dimethylamino-4-phenylthiazole L⁴H and 2-piperidino-3,4-diphenylthiophene K⁴H. In general, the dye forming process required reaction times between 0.5 and 2 h. The reaction extent can be monitored, in all cases, by means of UV-vis spectroscopy or thin-layer chromatography. An unnecessarily long-time heating of the appropriate components or heating with an excess of the nucleophilic compound has to be avoided because exchange-reactions at the carbocyclic or heterocyclic side-groups [9] or forming of an 1:3 addition product [2b] (or both of them) [2c] giving rise to the formation of complex mixtures of products or ring-splitting reactions at the central squaric acid moiety [2a] preventing the formation of the desired unsymmetrically substituted squaraines XQY in high yields can occur under such circumstances.

In the course of our efforts to prepare unsymmetrically substituted squaraines XQY a further method D for their synthesis haven been found. It avoids the use of semisquaric acid derivatives but starts from symmetrically substituted squaraines **XQX** which were allowed to react with an appropriate nucleophilic compound YH to replace one of its groups X as XH. This method is applicable if the starting symmetrically substituted squaraine **XQX** is sufficently soluble in a polar sovent, such as 1-butanol, and if the nucleophile YH used exhibit a better nucleophilicity than the nucleophile XH replaced. Thus, the unsymmetrically substituted squaraine C³QK¹ was prepared by allowing to react the squaraine C^3QC^3 with an equivalent amount of 2-dimethylaminothiophene K¹H in 1-butanol.

The unsymmetrical squaraines **XQY** prepared usually crystallise from the reaction mixture after cooling at room temperature. In this case, the products can be isolated by suction from their reaction mixture. For products which do not crystallise at cooling their reaction mixture is concentrated in vacuum and diluted, subsequently, by adding of a non-polar solvent, such as diethyl ether or *n*-hexane. As far as necessary, the products obtained can be purified by recrystallisation from hot chloroform or acetonitrile or by column chromatography on silica.

In Table 2 the new unsymmetrical squaraines **XQY** obtained by means of one the four methods

Table 2
Characteristic data of the symmetrically and unsymmetrically substituted squaraines **XQX** and **XQY**, respectively, prepared

X	Y	Yield (%) (method)	m.p. (°C) (decomp.)	$\nu_{\rm CO}~({\rm cm}^{-1})$ (in KBr)	δ-values (ppm) (assignment) ^a	Solvent
A ²	K ¹	30–35 (B)	285	1618	3.09 (s, 6H, NCH ₃), 3.34 (s, 6H, NCH ₃), 6.39 (dd, 1H, CH _{ph}), 6.49 (d, 1H, CH _{th}), 6.51 (dd, 1H, CH _{ph}), 8.30 (d, 1H, CH _{th}), 8.39 (dd, 1H, CH _{ph})	CDCl ₃
A ²	K ²	50–55 (B)	275	1618	2.11 (m, 4H, CH ₂), 3.03 (s, 6H, NCH ₃), 3.77 (m, 4H, NCH ₂), 6.53 (dd, 1H, CH _{ph}), 6.62 (dd, 1H, CH _{ph}), 6.96 (d, 1H, CH _{th}), 8.09 (d, 1H, CH _{th}), 8.11 (dd, 1H, CH _{ph})	DMSO-Dd
A ²	K ³	30–35 (B)	248	1618	1.69 (m, 6H, CH ₂), 3.02 (s, 6H, NCH ₃), 3.77 (m, 4H, NCH ₂), 6.51 (dd, 1H, CH _{ph}), 6.60 (dd, 1H, CH _{ph}), 7.18 (d, 1H, CH _{th}), 8.08–8.13 (m, 1H, CH _{ph}), 8.11 (d,1H, CH _{th})	DMSO-d ₆
D ¹	K ³	15–20 (B)	251	1606	1.75 (s, 6H. CCH ₃), 1.77 (m, 6H, CH ₂), 3.58 (m, 4H, NCH ₂), 3.69 (s, 3H, NCH ₃), 5.95 (s, 1H, CH), 6.57 (d, 1H, CH _{th}), 7.21–7.49 (m, 4H, CH _{benzo}), 7.91 (d, 1H, CH _{th})	Acetone-d ₆
D¹	L^1	25–30 (B)	235	1608	1.73 (m, 6H, CH ₂), 1.77 (s, 6H, CCH ₃), 2.85 (s, 3H, CH ₃), 3.68 (m, 4H, NCH ₂), 3.80 (s, 3H, NCH ₃), 6.09 (s, 1H, CH), 7.3–7.58 (m, 4H, CH _{benzo})	Acetone-d ₆
D ¹	L^2	25–30 (B)	251	1609	1.29 (t, 6H, CH ₃), 1.77 (s, 6H, CCH ₃), 2.87 (s, 3H, CH ₃), 3.67 (q, 4H, NCH ₂) 3.79 (s, 3H, NCH ₃), 6.08 (s, 1H, CH), 7.30–7.55 (m, 4H, CH _{benzo})	Acetone-d ₆
D¹	L ³	30–35 (B)	214	1611	1.76 (s, 6H, CCH ₃), 2.99 (s, 3H, CH ₃), 3.68 (s, 3H, NCH ₃), 4.74 (s, 4H, NCH ₂), 6.04 (s,1H, CH), 7.09–7.40 (m, 14H, CH _{ph} +CH _{benzo})	CDCl ₃
D¹	L ⁴	25–30 (A, B)	251	1612	1.77 (s, 6H, CCH ₃), 3.29 (s, 6H, NCH ₃), 3.66 (s, 3H, NCH ₃), 6.02 (s, 1H, CH), 7.09–7.27 (m, 2H, CH _{benzo}), 7.34–7.46 (m, 5H, CH _{ph}), 7.76–7.79 (m, 2H, CH _{benzo})	CDCl ₃
D¹	M	45–50 (B)	251	1610	1.34 (t, 6H, CH ₃), 1.76 (s, 6H, CCH ₃), 3.63 (s, 3H, NCH ₃), 3.63–3,67 (m, 4H, NCH ₂) 5.97 (s, 1H, CH), 7.06–7.24 (m, 2H, CH-benzo), 7.33–7.46 (m, 5H, CHph), 7.78–7.81 (m, 2H, CH _{benzo})	CDCl ₃
E	A ³	40–45 (A, B)	242	1627	1.02 (t, 3H, CH ₃), 2.37 (s, 3H, CH ₃), 2.39 (q, 2H, CH ₂), 3.09 (s, 6H, NCH ₃), 6.09 (d, 1H, CH _{ph}), 6.49 (dd, 1H, CH _{ph}), 7.84 (d, 1H, CH _{ph}), 11,50 (s, 1H NH), 12.22 (s, 1H, OH)	DMSO-d ₆
E	A ⁴	40–45 (A, B)	231	1625	1.02 (t, 3H, CH ₃), 1.15 (t, 6H, CH ₃), 2.36 (s, 3H, CH ₃), 2.40 (q, 2H, CH ₂), 3.47 (q, 4H, NCH ₂), 6.09 (dd, 1H, CH _{ph}), 7.84 (d, 1H, CH _{ph}), 11.50 (s, 1H, NH), 12.24 (s, 1H, OH)	DMSO-d ₆

(continued on next page)

1 2	h	А	,	(continued)	

X	Y	Yield (%) (method)	m.p. [°C] (decomp.)	$\nu_{\rm CO}~({\rm cm}^{-1})$ (in KBr)	δ -values (ppm) (assignment) ^a	Solvent
Е	B¹	55-60 (A, B)	222	1628	1.01 (t, 3H, CH ₃), 1.85 (m, 4H, CH ₂), 2.32 (s, 3H, CH ₃), 2.37 (q, 2H, CH ₂), 2.57 (m, 4H, CH ₂), 3.35 (t, 2H NCH ₂), 3.37 (t, 2H, NCH ₂), 7.48 (s, 1H, CH _{ph}), 11.10 (s, 1H, NH), 12.47 (s, 1H, OH)	DMSO-d ₆
E	B^2	55–60 (A, B)	241	1621	1.02 (t, 3H, CH ₃), 1.20 (s, 6H, CH ₃), 1.39 (s, 6H, CH ₃), 1.69 (m, 4H, CH ₂), 2.33 (s, 3H, CH ₃), 2.38 (q, 2H, CH ₂), 3.35 (m, 2H, NCH ₂), 3.47 (m, 2H, NCH ₂), 7.83 (s, 1H, CH _{ph}), 11.25 (s, 1H, NH) 1.08 (t, 3H, CH ₃), 1.29 (s, 6H, CH ₃), 1.46 (s, 6H, CH ₃), 1.70–1.77 (m, 4H, CH ₂), 2.31 (s, 3H, CH ₃), 2.42 (q, 2H, CH ₂), 2.54 (broad, 3H, CH ₃), 3.30–3.34 (m, 2H, NCH ₂), 3.41–3.45 (m, 2H, NCH ₂), 7.91 (s, 1H, CH _{ph}) ^[A] , 8.00 (s, 1H, CH _{ph}) ^[B] , 12.12 (s, 1H, OH) ^[A] , 12.46 (s, 1H, OH) ^[B]	DMSO-d ₆ CDCl ₃ ^b
F	A^3	40–45 (A)	252	1625	2.20 (d, 3H, CH ₃), 2.81 (s, 3H, CH ₃), 3.15 (s, 6H, NCH ₃), 3.47 (s, 3H, NCH ₃), 6.12 (d, 1H, CH _{ph}), 6.53 (d, 1H, CH), 6.57 (dd, 1H, CH _{ph}), 7.88 (d, 1H, CH _{ph})	DMSO-d ₆
F	A ⁴	15–20 (A)	212	1625	1.16 (t, 6H, CH ₃), 2.19 (d, 3H, CH ₃), 2.79 (s, 3H, CH ₃), 3.47 (s, 3H, NCH ₃), 3.52 (q, 4H, NCH ₂), 6.11 (d, 1H, CH _{ph}), 6.52 (d, 1H, CH), 6.56 (dd, 1H, CH _{ph}), 7.87 (d, 1H, CH _{ph})	DMSO-d ₆
F	B ¹	30–35 (A)	216	1629	1.85 (m, 4H, CH ₂), 2.18 (d, 3H, CH ₃), 2.58 (m, 4H, CH ₂), 2.75 (s, 3H, CH ₃), 3.40 (t, 2H, NCH ₂), 3.42 (t, 2H, NCH ₂), 3.44 (s, 3H, NCH ₃), 6.45 (d, 1H, CH), 7.51 (s, 1H, CH _{ph})	DMSO-d ₆
C ¹	K ¹	25–30 (B)	238	1620	3.38 (s, 6H, NCH ₃), 3.87 (s, 3H, OCH ₃), 6.59 (d, 1H, CH _{th}), 6.96 (d, 2H, CH _{ph}), 8.25 (d, 2H, CH _{ph}), 8.35 (d, 1H, CH _{th})	CDCl ₃
C ¹	K ²	40–45 (B)	249	1619	2.18 (m, 4H, CH ₂), 3.64 (m, 4H, NCH ₂), 3.86 (s, 3H, OCH ₃), 6.52 (d, 1H, CH _{th}), 6.95 (d, 1H, CH _{ph}), 8.20 (d, 1H, CH _{ph}), 8.28 (d, 1H, CH _{th})	CDCl ₃
C ²	K ⁴	15–20 (A, C)	275	1615	1.59 (m, 6H, CH ₂), 3.49 (m, 4H, NCH ₂), 6.79 (d, 2H, CH _{ph}), 7.04–7.28 (m, 10H, CH _{ph}), 7.79 (d, 2H, CH _{ph}), 10.09 (s, 1H, OH)	DMSO-d ₆
K ¹	A^3	50–55 (B)	285	1624	3.06 (s, 6H, NCH ₃), 3.35 (s, 6H, NCH ₃), 6.09 (d, 1H, CH _{ph}), 6,44 (dd, 1H, CH _{ph}), 6.89 (d, 1H, CH _{th}), 7.74 (d, 1H, CH _{ph}), 7.97 (d, 1H, CH _{th}), 11.79 (s, 1H, OH)	DMSO-d ₆
K ¹	A ⁴	50-55 (B)	242	1623	1.12 (t, 6H, CH ₃), 3.32 (s, 6H, NCH ₃), 3.42 (q, 4H, NCH ₂), 6.05 (d, 1H, CH _{ph}), 6.39 (dd, 1H, CH _{ph}), 6.84 (d, 1H, CH _{th}), 7.72 (d, 1H, CH _{ph}), 7.92 (d, 1H, CH _{th}), 11.79 (s, 1H, OH)	DMSO-d ₆

Table 2 (continued)

X	Y	Yield (%) (method)	m.p. [°C] (decomp.)	$\nu_{\rm CO}~({\rm cm}^{-1})$ (in KBr)	δ-values (ppm) (assignment) ^a	Solvent
K ¹	B ¹	55-60 (B)	230	1625	1.86 (m, 4H, CH ₂), 2.55–2.63 (m, 4H, CH ₂), 3.28 (s, 6H, NCH ₃), 3.33 (t, 2H, NCH ₂), 3.35 (t, 2H, NCH ₂), 6.69 (d, 1H, CH _{th}), 7.39 (s, 1H, CH _{ph}), 7.84 (d 1H, CH _{th}), 12.08 (s, 1H, OH)	DMSO-d ₆
K ¹	\mathbf{D}^1	30–35 (B)	259	1605	1.75 (s, 6H, CCH ₃), 3.19 (s, 6H, NCH ₃), 3.59 (s, 3H, NCH ₃), 5.91 (s, 1H, CH), 6.23 (d, 1H, CH _{th}), 6.99- 7.36 (m, 4H, CH _{benzo}), 7.98 (d, 1H, CH _{th})	CDCl ₃
K ¹	E	20-30 (B)	240	1621	1.02 (t, 3H, CH ₃), 2.31 (s, 3H, CH ₃), 2.37 (q, 2H, CH ₂), 6.64 (d, 1H, CH _{th}), 7.89 (d, 1H, CH _{th}), 10.96 (s, 1H, NH)	DMSO-d ₆
K ¹	F	25-30 (B)	267	1608	2.21 (d, 3H, CH ₃), 2.89 (s, 3H, CH ₃), 3.26 (s, 6H, NCH ₃), 3.43 (s, 3H, NCH ₃), 6.36 (d, 1H, CH _{th}), 6.75 (d, 1H, CH), 8.14 (d, 1H, CH _{th})	CDCl ₃
K ¹	K ⁴	60–65 (A, C)	259	1618	1.55 (m, 6H,CH ₂), 3.19 (m, 10H, NCH ₃ , NCH ₂), 7.05–7.25 (m, 10H, CH _{ph}), 7.97 (d, 1H, CH _{th})	CDCl ₃
K ¹	L ⁴	25–30 (A, C)	264	1614	3.19 (s, 6H, NCH ₃), 3.35 (s, 6H, NCH ₃), 6.98 (d, 1H, CH _{th}), 7.35–7.65 (m, 5H, CH _{ph}), 7.97 (d 1H, CH _{th})	DMSO-d ₆
K ¹	C ³	55-60 (B, D)	327	1632	3.41 (s, 6H, NCH ₃), 5.73 (s, 2H, CH _{ph}), 7.10 (d, 1H, CH _{th}), 8.02 (d, 1H, CH _{th}), 10.19 (s, 1H, OH), 11,50 (s, 2H, OH)	DMSO-d ₆
B ²	B ²	60–65 (A)b)	337	1602	1.28 (s, 12H, CH ₃), 1.46 (s, 12H, CH ₃), 3.30 (broad, 4H, NCH ₂), 3.40 (broad, 4H, NCH ₂), 7.83 (s, 1H, CH _{ph} [A]), 7.93 (s, 1H, CH _{ph} [B]), 11.34 (s, 2H, OH[A]), 12.00 (s, 1H, OH[B])	CDCl ₃
K ¹	K ¹	30–35 (B)	279	1605	3.22 (s, 12H, NCH ₃), 6.55 (d, 2H, CH _{th}), 7.75 (d, 2H, CH _{th}), 3.21 (s, 12H, NCH ₃), 6.23 (d, 2H, CH _{th}), 7.97 (d, 2H, CH _{th}),	DMSO-d ₆ CDCl ₃
K ² K ³ K ⁴	K ² K ³ K ⁴	30–35 (B) 40–45 (B) 50–55 (A)	308 256 281	1607 1610 1621	see Ref. [10a] see Ref. [10a] see Ref. [10a]	
M	M	40–45 (B)	250	1614	1.31 (t, 12H, CH ₃), 3.63 (q, 8H, NCH ₂),	DMSO-d ₆
					7.24–7.81 (m, 10H, CH _{ph}) see Ref. [12b]	CDCl ₃

a ph = phenyl; th = thienyl.

A–D are listed. Their constitution follow from their analytical and spectroscopic data. Whereas the latter are summarised in Table 2 the elemental analytic data are summarised in Table 3.

Similar to their corresponding symmetrical squaraines **XQX** the new unsymmetrical squaraines **XQY** exhibit in their IR. spectra characteristic absorption bands at about 1600, 3000–3100,

^b Compound exists in this solvent possibly in two isomeric forms A and B, see Ref. [7b].

Table 3
Elemental analytic data of the squaraines **XQY** prepared

X	Y	Formula $(M_{\rm w})$		C	Н	N	S
A ²	K ¹	C ₁₈ H ₁₇ FN ₂ O ₂ S (344.4)	Calcd. Found	62.77 62.66	4.98 5.16	8.13 8.19	9.31 9.41
A^2	K ²	$C_{20}H_{19}FN_2O_2S$ (370.4)	Calcd. Found	64.85 64.58	5.17 5.38	7.56 7.47	8.65 8.79
A^2	K ³	$C_{21}H_{21}FN_2O_2S$ (384.5)	Calcd. Found	65.61 66.01	5.51 5.77	7.29 7.18	8.34 8.40
\mathbf{D}^1	K ³	$C_{25}H_{26}N_2O_2S$ (418.6)	Calcd. Found	71.74 71.58	6.26 6.35	6.69 6.70	7.66 7.65
\mathbf{D}^1	L^1	$C_{25}H_{27}N_3O_2S$ (433.6)	Calcd. Found	69.26 69.39	6.28 6.87	9.69 9.54	7.39 7.20
\mathbf{D}^1	L^2	$C_{24}H_{27}N_3O_2S$ (421.6)	Calcd. Found	68.38 68.30	6.46 6.79	9.97 9.63	7.61 7.30
\mathbf{D}^1	L^3	$C_{34}H_{31}N_3O_2S$ (545.7)	Calcd. Found	74.84 74.45	5.73 5.92	7.70 7.63	5.88 5.69
\mathbf{D}^1	L4	$C_{27}H_{25}N_3O_2S$ (455.6)	Calcd. Found	71.18 71.22	5.53 6.14	9.22 9.08	7.04 6.69
\mathbf{D}^1	M	$C_{29}H_{29}N_3O_2Se$ (530.5)	Calcd. Found	65.66 64.92	5.51 6.12	7.92 7.90	_ _
E	A^3	$C_{20}H_{22}N_2O_3$ (338.4)	Calcd. Found	70.99 71.02	6.55 7.14	8.28 8.14	_
E	A^4	$C_{22}H_{26}N_2O_3$ (366.5)	Calcd. Found	72.11 72.02	7.15 7.08	7.64 7.57	=
E	B^1	$C_{24}H_{26}N_2O_3$ (390.5)	Calcd. Found	73.82 73.90	6.71 6.91	7.17 7.23	-
E	B ²	$C_{28}H_{34}N_2O_3$ (446.6)	Calcd. Found	75.31 74.53	7.67 7.69	6.27 6.15	-
F	A^3	$C_{19}H_{20}N_2O_3$ (324.4)	Calcd. Found	70.35 70.31	6.21 6.31	8.64 8.34	=
F	A ⁴	$C_{21}H_{24}N_2O_3$ (352.4)	Calcd. Found	71.57 71.36	6.86 6.82	7.95 7.92	_
F	B1	$C_{23}H_{24}N_2O_3$ (376.5)	Calcd. Found	73.38 73.37	6.43 6.54	7.44 7.25	_
C¹	K ¹	$C_{17}H_{15}NO_3S$ (313.37)	Calcd. Found	65.16 64.87	4.82 5.17	4.47 4.46	10.23 9.97
C^1	K ²	$C_{19}H_{17}NO_3S$ (339.4)	Calcd. Found	67.24 67.34	5.05 5.45	4.13 4.19	9.45 9.33
\mathbb{C}^2	K ⁴	$C_{31}H_{25}NO_3S$ (491.6)	Calcd. Found	75.74 75.95	5.13 5.26	2.85 2.90	6.52 6.41
K ¹	A^3	$C_{18}H_{18}N_2O_3S$ (342.4)	Calcd. Found	63.14 62.65	5.30 5.54	8.18 8.07	9.36 9.51

(continued on next page)

Table 3 (continued)

X	Y	Formula $(M_{\rm w})$		C	Н	N	S
K¹	A ⁴	$C_{20}H_{22}N_2O_3S$ (370.5)	Calcd. Found	64.84 65.08	5.99 6.09	7.56 7.59	8.65 9.09
K ¹	\mathbf{B}^{1}	$C_{22}H_{22}N_2O_3S$ (394.5)	Calcd. Found	66.98 67.23	5.62 5.89	7.10 7.03	8.13 8.55
K ¹	B^2	$C_{26}H_{30}N_2O_3S$ (450.6)	Calcd. Found	69.30 68.49	6.71 6.72	6.22 5.93	7.12 7.06
K ¹	D^1	$C_{22}H_{22}N_2O_2S$ (378.5)	Calcd. Found	69.81 68.01	5.86 6.04	7.40 7.17	8.47 8.18
K ¹	E	$C_{18}H_{20}N_2O_2S$ (328.4)	Calcd. Found	65.83 65.74	6.14 6.13	8.53 8.56	9.76 9.81
K ¹	F	$C_{17}H_{18}N_2O_2S$ (314.4)	Calcd. Found	64.94 64.40	5.77 6.22	8.91 8.62	10.20 10.21
K ¹	K ⁴	$C_{31}H_{28}N_2O_2S_2$ (524.7)	Calcd. Found	70.96 71.17	5.38 5.85	5.34 5.39	12.22 12.10
K ¹	L ⁴	$C_{21}H_{19}N_3O_2S_2$ (409.5)	Calcd. Found	61.59 61.67	4.68 4.57	10.26 10.24	15.66 15.86
K ¹	C^3	$C_{16}H_{13}NO_5S$ (331.3)	Calcd. Found	58.00 57.94	3.95 3.80	4.23 4.31	9.68 9.90
K ¹	K ¹	$C_{16}H_{16}N_2O_2S$ (332.5)	Calcd. Found	57.81 57.79	4.85 4.84	8.43 8.33	19.29 19.24
K ²	K ²	$C_{20}H_{20}N_2O_2S_2$ (384.5)	Calcd. Found	62.47 62.53	5.24 5.26	7.29 7.14	16.68 16.29
K ³	K ³	$C_{22}H_{24}N_2O_2S_2$ (412.6)	Calcd. Found	64.05 64.20	5.86 5.90	6.79 6.62	15.54 15.22
K ⁴	K ⁴	$C_{46}H_{40}N_2O_2S_2$ (717.0)	Calcd. Found	77.06 76.80	5.62 5.61	3.91 3.82	8.95 8.92
M	M	$C_{30}H_{30}N_4O_2Se_2$ (636.5)	Calcd. Found	56.61 56.81	4.75 4.98	8.80 8.84	- -
B^2	B^2	$C_{36}H_{44}N_2O_4$ (568.8)	Calcd. Found	76.02 75.60	7.80 7.67	4.93 4.90	- -

2800–3000 and 1500 cm⁻¹. Whereas the first band can be attributed to the pseudoaromatic squarate moiety, the other ones can be attributed to their characteristic groups in their adjacent moieties. The absence of any bands at about 1700 cm⁻¹ can be explained with the highly polar character of the central four-membered ring which gives rise to very polar carbonyl groups therein. Moreover, the absence of any IR bands in this region which are found, e.g. for compound **Q(K¹)**₂ at 1745 and 1713 cm⁻¹ can be used as an argument for a 1,3-linking of the carbocyclic or heterocyclic groups at the central four-membered squarate ring.

The new unsymmetrically substituted squaraines **XQY** prepared are deeply coloured micro-crystalline solids with a remarkable high thermal stability and a considerable solubility in polar organic solvents. In solution they exhibit in their UV/Vis spectra intense bands having maxima at about 560–660 nm and extinction coefficients at about $10^5 \, 1 \, \text{mol}^{-1} \, \text{cm}^{-1}$ (see Table 4).

For illustration, in Fig. 1 the typical absorption spectrum of an unsymmetrical squaraine A^2QK^1 is depicted and contrasted to the absorption spectra of both its symmetrical squaraines A^2QA^2 and K^1QK^1 . As can be seen, the absorption maxima of

Table 4
Spectral data of the squaraines **XQY** prepared

X	Y	λ_{max} (nm)	$\log \varepsilon$	λ_{\max} (nm)	$\Delta \lambda$	Ref.
A ²	K ¹	622	5.27	642	-20	_
A^2	K^2	621	5.26	646	-25	_
A^2	K^3	625	5.25	647	-22	_
\mathbf{D}^1	K^3	649	5.40	649	0	_
$\mathbf{D^1}$	L^1	630	5.33	_a	_a	_
\mathbf{D}^1	L^2	629	5.37	631	-2	_
\mathbf{D}^1	L^3	629	5.31	634	-5	_
\mathbf{D}^1	L^4	644	5.19	65	-11	_
\mathbf{D}^1	M	657	5.22	664	-7	_
E	A ³	600	5.43	601	-1	_ _
E	A^4	604	5.44	604	0	_
E	$\mathbf{B^1}$	619	5.44	617	+ 2	_
Ē	\mathbf{B}^2	619	5.43	617	+ 2	_
F	A^3	580	5.29	583	-3	_
F	A ⁴	581	5.31	586	-5	_
F	\mathbf{B}^{1}	591	5.29	598	-3 -7	_ _ _
C ¹	K ¹	564	5.14	597	-7 -33	_
C^1	K ²	563	5.13	601	-38	_ _
C^2	K ⁴	590	5.09	_b	_b	
K ¹	A^3	643	5.45	- 646	_ _3	_
K ¹	A ⁴	648	5.51	649	-3 -1	
K ¹	B ¹	662	5.48	661	-1 +1	_ _ _
K ¹	\mathbf{D}^1	645	5.48		+1	_
K ¹		612	5.46	644 610	+1+2	
K ¹	E F			591		_
K ¹	r K ⁴	587	5.22		-4 2	_
K ¹	L ⁴	677	5.33	679	-2	_
K ¹	C_3	655 609	5.12 _c	665	-10	_ _
K.	C		5.11 ^d	613	-4	_
A^1	A^1	599 ^d				- 201
A ²	A ²	627	5.49			[3,29]
		630	5.09			[3,29]
A^3	A^3	637	5.52			[3,29]
A ⁴	A ⁴	643	5.55			[3,29]
B ¹	$\mathbf{B^1}$	668	5.54			[3,30]
B ²	B ²	670	5.56			-
C1	C1	540	5.15			[31]
C^2	C^2	_b	_b			-
C^3	C^3	571	5.21			[2b]
\mathbf{D}^{1}	\mathbf{D}^{1}	634	5.47			[2b,32]
E	E	565	5.34			[2b]
F	F	528	5.00			[2c]
K ¹	K ¹	654	5.46			_
K ²	K ²	662	5.54			[10]
K ³	K ³	663	5.46			[10]
K ⁴	K ⁴	703	5.27			[10]
L^1	L^1	_a	_a			_
L ²	L^2	628	5.35			[11a]
L^3	L^3	633	5.41			[11a]
L^4	L^4	675	5.16			[11a]
M	M	694	5.18			[12]

^a Data are not available, because the compound L^1QL^1 is stable only for a short time in the reaction mixture.



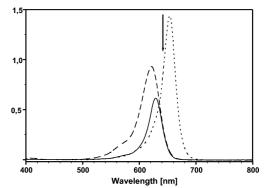


Fig. 1. Visible absorption spectrum of the unsymmetrically substituted squaraine dye A^2QK^1 (- - - -) in respect to the spectra of the symmetrically substituted analogues A^2QA^2 (—) and K^1QK^1 (· · · · ·); spectra measured in trichloromethane, concentration 1×10^{-5} M 1^{-1} ; the arrow indicates the arithmetic mean value (\mathcal{X}_{max}) according to Eq. (1).

Wavenumber [x1000 cm⁻¹]

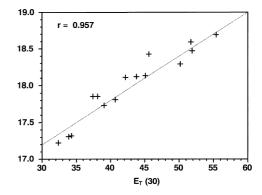


Fig. 2. Correlation of the reciprocal absorption maxima, measured in 1000 cm⁻¹, of compound $C^{1}QK^{1}$ against the solvent polarity parameter $E_{T}(30)$.

the unsymmetrical squaraine **XQY** is significantly blue-shifted with respect to the absorption maxima of their symmetrical squaraines **XQX** and **YQY**.

By comparing the λ_{max} value of the absorption maximum of a unsymmetrically substituted squaraine dye **XQY**, designed as $\lambda_{max}(XQY)$, with the ones of their symmetrically substituted parent dyes **XQX** and **YQY**, designed as $\lambda_{max}(XQX)$ and $\lambda_{max}(YQY)$, respectively, one can see that $\lambda_{max}(XQY)$ is, in general, not the same as the arithmetic mean value λ_{max} of both the symmetrical

^b Data are not available, because the compound C^2QC^2 can not be prepared by common methods [2b].

 $^{^{\}circ}$ Due to the low solubility of compound K^1QC^3 in trichloromethane the data are not recordable.

^d Measured in 2-butanone.

Table 5 Solvent polarity parameters $E_T(30)$ and UV–vis-absorption data of compound $\mathbf{C^1QK^1}$

Solvent	$E_{\rm T}(30)$ (kcal mol ⁻¹)	λ _{max} (nm)	$1/\lambda_{max}$ (10^{-5} m^{-1})
Tetrachloromethane	32.4	580.6	17.223
Toluene	33.9	577.9	17.304
Benzene	34.3	577.3	17.322
Tetrahydrofuran (THF)	37.4	560.2	17.850
Ethyl acetate	38.1	560.2	17.850
Trichloromethane	39.1	564.0	17.730
Dichloromethane	40.7	561.5	17.809
Acetone	42.2	552.2	18.109
<i>N,N</i> -dimethylformamide (DMF)	43.8	551.9	18.119
Dimethylsulfoxide (DMSO)	45.1	551.5	18.132
Acetonitrile	45.6	542.6	18.429
1-Butanol	50.2	546.7	18.291
Acetic acid	51.7	537.9	18.590
Ethanol	51.9	541.4	18.470
Methanol	55.4	535.0	18.691

dyes **XQX** and **YQY** calculated by means of Eq. (1). For the most compounds, the $\lambda_{max}(XQY)$ value of an unsymmetrical dye is hypsochromic shifted in respect to the arithmetic mean value λ_{max} of both the symmetrical dyes. This phenomenon seems to be a general one in the series of squaraines studied and corresponds with analogous phenomenons found in other series of unsymmetrical squaraine dyes [6d,8b] or, e.g.

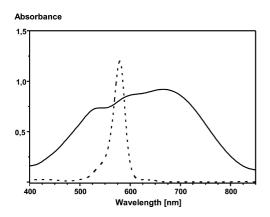


Fig. 3. Visible and NIR absorption spectra of the dye FQA^3 in solution (trichloromethane) and in dispersion on a polyester sheet.

polymethine dyes [23,24]. Moreover, the position of the longest-wavelength absorption bands of the unsymmetrical substituted squaraines **XQY**, $\lambda_{\text{max}}(\text{XQY})$, and the deviation $(\Delta\lambda)$ of the arithmetic mean values of the symmetrically substituted squaraines **XQX** and **XQY**, λ'_{max} , calculated according to Eq. (2), is strongly influenced by the groups linked at the 1,3-positions of the central squaraine moiety.

$$\lambda'_{\text{max}} = 1/2 \left[\lambda_{\text{max}}(XQX) + \lambda_{\text{max}}(YQY) \right]$$
 (1)

$$\Delta \lambda = \lambda'_{\text{max}} - \lambda_{\text{max}}(XQY) \tag{2}$$

To see, if the deviation found can be correlated with a characteristic property of the compounds studied we have measured the condensation tendency of a compounds XH towards squaric acid Q(OH)₂ in comparison to the one of a second compound YH and this relative tendency compared with the corresponding $\Delta \lambda$ value. The condensation tendency was estimated by heating an equimolar mixture of two different compounds XH and YH with squaric acid in a 1-butanol/benzene mixture at boiling temperature under a nitrogen atmosphere and quantified by the measurement of the extinctions of the reaction mixture after several time intervals at wavelengths which are characteristic for the longest-wavelength maxima of the possible squaraines XQY, XQX and YQY. The measurements have been supported by means of thin-layer chromatography in such a way that the time was registered in which one of the possible condensation products could be detected as a well-defined spot on the chromatogram. Thus, the following reactivity sequence has been estimated:

$$\begin{split} EH > D^1H > K^1H > FH >> C^3H > A^3H \\ > L^4H > A^2H > C^1H \end{split}$$

As can seen from the values of Table 4, this condensation tendency correlates qualitatively with the $\Delta\lambda$ values estimated, e.g. of the unsymmetrical squaraines $\mathbf{XQK^1}$ derived from the compounds \mathbf{XH} and the compound $\mathbf{K^1H}$. Thus, for the squaraines $\mathbf{EQK^1}$ and $\mathbf{D^1QK^1}$ slight positive $\Delta\lambda$ values were found in contrast to the squaraines $\mathbf{A^3QK^1}$, $\mathbf{C^3QK^1}$, and $\mathbf{FQK^1}$ or to the squaraines

 C^1QK^1 and A^2QK^1 for which slightly or strongly negative $\Delta \lambda$ values, respectively, were estimated in contrast to values in the literature for squaraines of structures C^1QA^1 [6d] and DQD' [8b].

The sequence documents, e.g. that the heterocyclic pyrroles **EH** and **FH** as well as the 2-dialkylamino-substituted thiophenes **KH** are more reactive than their carbocyclic analogues **AH**, and that these carbocyclic compounds have a reactivity similar to the one of the 2-dialkylamino-substituted thiazole **LH**.

As a further interesting fact, the dependence of the absorption maximum of the unsymmetrically substituted squaraines XQY from the polarity of the solvent used has to be mentioned. As seen from Fig. 2, in which the reciprocal wavelength $1/\lambda$ (measured in 10^{-5} m) of the longest-wavelength maximum of the compound C1QK1 (see Table 5) is opposed to the solvent polarity parameter $E_T(30)$ estimated by Reichardt [25,26], there is a rather good correlation (correlation coefficient r = 0.957) between both sets of data. Hence, the unsymmetrically substituted squaraines XQY prepared should be used as indicators for measuring the solvent polarity. Corresponding studies are in progress and will be published later. Moreover, due to the strong solvatochromism found, the unsymmetrically substituted squaraines XQY here described are good candidates for manufacturing materials with non-linear optical properties [27]. As is known, the non-linear optical properties of an appropriate compound depend, inter alia, on the changes of the dipole moments by going from the ground to its excited state as well as from the oscillator strength of its longest-wavelength absorption band [28]. Indeed, both these values are significantly large for the unsymmetrically substituted squaraines XQY described here.

Finally, the influence of the aggregation states on the spectral properties of the unsymmetrically substituted squaraines XQY prepared should also to be mentioned. Fig. 3 depicts the absorption spectra of the unsymmetrically substituted squaraine FQA^3 in chloroform solution as well as in a dispersed form. There is a strong shift both in the position of the absorption band and in the shape of this band by going from the solution to the solid state. Hence, the unsymmetrically substituted squaraine XQY,

especially the dyes **FQA** and **CQK**, are good candidates for using as photosensitive and electrically active pigments in electrophotographic devices.

3. Experimental

3.1. General

Melting points were determined by means of a differential scanning calorimeter (Mettler, Toledo) using a heating rate of 5°C/min. IR spectra were recorded in potassium bromide pellets with a FTIR spectrometer PU 9624 (Philips, Eindhoven) or with a FTIR spectrometer FTS 25 (BIO-RAD Laboratories GmbH, Krefeld), whereas visible and near infrared spectra were recorded with a UV spectrometer UV-2501 PC (Shimadzu, Tokyo) or with a spectrometer M 40 (Carl Zeiss, Jena). NMR spectra were recorded with a Varian Gemini 300 spectrometer (Varian, Zurich) operating at 300 MHz. Elemental analysis data were obtained with a LECO CHNS 932 analyser.

3.2. Preparation of 1-substituted 2-butoxy-cyclobuten-3,4-diones **QXOBu** — general procedure

Dibutyl squarate (**Q(OBu)₂**, [2a], 33.9 g, 0.15 mol) and the appropriate nucleophilic component **XH** (0.15 mol) were heated in acetic acid or 1-butanol (150 ml) for 1 h. After standing for 12 h the product formed was isolated by filtration, washed with ether, and dried at 70°C for 8 h. For further purification the product was recrystallised from acetic acid.

The following products were obtained: 1-[2-(1,3,3 - trimethylindolin - 2 - ylidene) - methyl] - 2 - butoxycylobuten-3,4-dione ($\mathbf{QD^1OBu}$) from 2-methylene-1,3,3-trimethylindoline in a yield of 50%; m.p. 126°C. $C_{20}H_{23}NO_3$ (325.41): calcd. C 73.82, H 7.12, N 4.30; found: C 74.13, H 7.19, N 4.29. 1-(3,5-Dimethyl-4-ethylpyrryl)-2-butoxycyclobuten-3,4-dione (\mathbf{QEOBu}) from 2,4-dimethyl-3-ethylpyrrole in a yield of 52%; m.p. 159°C. $C_{16}H_{21}NO_3$ (275.35): calcd. C 69.79, H 7.69, N 5.09; found C 69.81, H 7.67, N 5.08. 1-(1,2,5-Trimethyl-3 - pyrryl)-2-butoxycyclobuten -3,4-dione (\mathbf{QFOBu}) from 1,2,5-trimethylpyrrole in a yield of

20%; m.p. 134°C. $C_{15}H_{19}NO_3$ (261.32): calcd. C 68.94, H 7.33, N 5.36; found C 69.04, H 7.40, N 5.91. 1-(2-N,N-dimethylamino-5-thienyl)-2-butoxycyclobuten-3,4-dione (**QK**¹**OBu**) from 2-N,N-dimethylaminothiophene [10c] in a yield of 29%; m.p. 120°C. $C_{14}H_{17}NO_3S$ (279.35): calcd. C 60.19, H 6.13, N 5.01, S 11.48; found C 60.10, H 6.24 N, 5.21, S 11.01.

3.3. Preparation of 1-substituted 2-chlorocylobutene-3,4-diones (QXCl) — general procedure

3.3.1. Method A

1,2-Dichlorocyclobuten-3,4-dione (QCl₂ [22], 15.1 g, 0.1 mol) and the appropriate nucleophilic component (XH, 0.1 mol) were dissolved in dried benzene (250 ml) and refluxed for 6 h. After cooling, the reaction mixture was poured in ice water (500 ml) and the two layers formed were separated. The organic layer was washed with water (250 ml), dried, and evaporate in vacuum. The residue obtained was used without further manipulation for the next procedures or, as far as it is necessary, could be recrystallised from benzene or toluene and precipitated by adding of some hexane to the cold solution.

3.3.2. *Method B*

This method is the same as method A, but dried methylene chloride was used as the solvent and anhydrous AlCl₃ (13.3 g, 0.1 mol) was added to the reaction mixture before heating. The following 2-chlorocyclobuten-3,4-diones were obtained: 2chloro-1-(4-methoxyphenyl)-cyclobuten-3,4-dione (QC¹Cl) from anisole according to the method B in a yield of 61%; m.p. 120°C. C₁₁H₇ClO₃ (222.63): calcd. C 59.35, H 3.17, Cl 15.95; found C 59.63, H 3.26, Cl 15.67. 2-Chloro-1-(4-hydroxyphenyl)-cyclobuten-3,4-dione (QC²CI) from anisole according to the method B by using 10 (!) equivalents of AlCl₃ in a yield of 49%; m.p. 208°C. C₁₀H₅ClO₃ (208.60): calcd. C 57.58, H 2.42, Cl 17.00; found C 56.75, H 2.55, Cl 16.38. 2-Chloro-1-(2-N,N-dimethylamino-5-thienyl)-cyclobuten-3,4-dione (QK¹Cl) from 2-N,N-dimethylaminothiophene accordingly to the method A in a yield of 71%; m.p. 198°C (dec.). C₁₀H₈ClNO₂S (241.69): calcd. C 49.70, H 3.34, N 5.80, S 13.26; found C 49.48, H 3.56, N 5.80, S 13.06. 2-Chloro-1-(2-N,N-dimethylamino-4-phenyl-5-thiazolyl)-cyclobuten-3,4-dione (**QL**⁴**CI**) from 2-N,N-dimethylamino-4-phenylthiazole [11a] according to method A in a yield of 57%; m.p. 205°C (dec.). C₁₅H₁₁ClN₂O₂S (318.78): calcd. C 56.52, H 3.48, N 8.79, S 10.06; found C 56.94, H 3.81, N 8.81, S 10.39.

3.4. Preparation of semisquaric acids **QXOH**—general procedure

A 1-substituted 2-butoxy-cylobuten-3,4-dione or a 2-chlorocyclobuten-3,4-dione (**QXOBu** or **QXCI**, respectively, 0.02 mol) was dissolved in a mixture of acetic acid (50 ml), water (50 ml), and 2 N HCl (4 ml) and refluxed for 2 h. After cooling at room temperature, the product crystallised was isolated by filtration, washed with ether, and dried.

The following semisquaric acids (QXOH) were obtained: 2-hydroxy-1-(4-methoxyphenyl)-cyclobuten-3,4-dione (QC¹OH) from 2-chloro-1-(4methoxyphenyl)-cyclobuten-3,4-dione (QC¹Cl) in a yield of 64%; m.p. 232°C (dec.), lit. m.p. 221-223°C [4a]. C₁₁H₈O₄ (204.18): calcd. C 64.71, H 3.9; found C 64.22, H 3.95. 2-Hydroxy-1-(4hydroxyphenyl)-cyclobuten-3,4-dione (QC²OH) 2-chloro-1-(4-hydroxyphenyl)-cyclobutenfrom 3,4-dione (**QC²Cl**) in a yield of 26%; m.p. 273°C (dec.). C₁₀H₆O₄ (190.16): calcd. C 63.16, H 3.18; found C 62.86, H 3.31. 2-Hydroxy-1-[2-(1,3,3-trimethylindolin-2-ylidene)-methyl]-cylobuten-3,4dione (QD¹OH) from 2-butoxy-1-[2-(1,3,3-trimethylindolin-2-ylidene)-methyl]-cylobuten-3,4dione (QD¹OBu) in a yield of 24%; m.p. 207°C (dec.). C₁₆H₁₅NO₃ (269.30): calcd. C 71.36, H 5.61, N 5.20; found C 71.42, H 5.63, N 5.21. 1-(3,5-Dimethyl-4-ethylpyrryl)-2-hydroxycyclobuten--3,4-dione (QEOH) from 1-(3,5-dimethyl-4-ethylpyrryl)-2-butoxycyclobuten-3,4-dione (**QEOBu**) in a yield of 71%, m.p. 265°C (dec.). C₁₂H₁₃NO₃ (219.24): calcd. C 65.74, H 5.98, N 6.39; found C 65.70, H 5.99, N 6.39. 2-Hydroxy-1-(1,2,4-trimethyl-3-pyrryl)-cyclobuten-3,4-dione (QFOH) from 2-butoxy-(1, 2, 4-trimethyl-3-pyrryl) - cyclobuten-3,4-dione (QFOBu) in a yield of 46%; m.p. 243°C (dec.). C₁₁H₁₁NO₃ (205.21): calcd. C 64.38, H 5.40, N 6.83; found C 64.62, H 5.39, N 6.86. 1(2-*N*,*N*-dimethylamino-5-thienyl)-2-hydroxycyclobuten - 3,4-dione (**QK¹OH**) from 2-butoxy-1-(2-*N*,*N*-dimethylamino-5-thienyl)-cyclobuten-3,4-dione (**QK¹OBu**) in a yield of 70% or from 2-chloro-1-(2-*N*,*N*-dimethylaminothienyl)-cyclobuten-3,4-dione (**QK¹Cl**) in a yield of 52%; m.p. 243°C (dec.). C₁₀H₉NO₃S (223.25): calcd. C 53.80, H 4.06, N 6.27, S 14.36; found C 54.27, H 4.47, N 6.26, S 14.31. 1-(2-*N*,*N*-Dimethylamino-4-phenylthiazolyl)-2-hydroxycyclobuten-3,4-dione (**QL⁴OH**) from 2-chloro-1-(2-*N*,*N*-dimethylamino-4-phenylthiazolyl)-cyclobuten-3,4-dione (**QL⁴Cl**) in a yield of 23%; m.p. 284°C (dec.). C₁₅H₁₂N₂O₃S (300.33): calcd. C 59.99, H 4.03, N 9.33, S 10.67; found C 59.08, H 4.30, N 9.25, S 10.60.

In contrast to what was previously described in the literature, the following semisquaric acids **QA²OH** and **QC²OH** were prepared.

3.5. $1-(4-N,N-dimethylamino-2-fluorophenyl)-2-hydroxycyclobuten-3,4-dione (QA^2OH)$

N,N-dimethylamino-3-fluoroaniline (375.8 g, 2.7 mol) and squaric acid (153,9 g, 1.35 mol) were heated in a 1:1 toluene/1-butanol mixture (8.01) for 8 h at a Dean-Stark trap. After cooling, the symmetrically substituted squaraine A2QA2 formed was isolated by filtration. The filtrate was heated at 99°C in vacuum at 15 torr and, after no more distillate was formed, at 200°C in vacuum at 3 torr. After 12 h the viscous residue was cooled and mixed with acetic acid (250 ml), water (250 ml), and conc. aqueous hydrochloric acid (10 ml). Then the mixture is repeatedly refluxed for 1 h and subsequently filtrated. After cooling at room temperature the product formed crystallises. It was isolated by filtration, washed with diethyl ether, and dried for 8 h at 80°C. The semisquaric acid QA²OH formed was isolated in a yield of 11 g (3.5%); m.p. 260°C (dec.). C₁₂H₁₀FNO₃ (235.21): calcd. C 61.28, H 4.29, N 5.95; found C 60.59, H 4.26, N 5.72.

3.6. 2-Hydroxy-1-(4-hydroxyphenyl)-cyclobuten-3,4-dione (QC^2OH)

A mixture of 2-hydroxy-1-(4-methoxyphenyl)-cyclobuten-3,4-dione (QC¹OH, 2 g, 9.79 mmol),

acetic acid (5 ml), and 35% aqueous hydrobromic acid (5 ml) was refluxed for 2 h. After filtration the mixture was cooled and the product crystallised was isolated by filtration. The formed semisquaric acid **QC**²**OH** was isolated in a yield of 0.5 g (27%); m.p. 273°C (dec.). This product is identical with the one obtained as previous described.

The semisquaric acid derivative 1-(2-N,Ndimethylamino - 5 - thienyl) - 2 - ethoxycyclobuten-3,4-dione (QK¹OEt) has been prepared from 2chloro-1-(2-N,N-dimethylaminothienyl)-cyclobuten -3,4-dione (QK¹Cl) as follow: a mixture of 2chloro-1-(2-N,N-dimethylaminothienyl)-cyclobuten-3,4-dione (3.63 g, 15 mmol) and ethanol (400 ml) was refluxed for 20 h. After filtration the solution was cooled at room temperature and the product crystallised was isolated by filtration. For purification the product was extracted with cyclo-hexane (60 ml) by means of a Soxhlet-extractor. The ethyl semisquarate QK¹OEt is obtained in a yield of 2.5 g (66%); m.p. 167°C. C₁₂H₁₃NO₃S (251.31): calcd. C 57.35, H 5.21, N 5.57, S 12.76; found C 57.72, H 5.31, N 5.44, S 12.77.

3.7. Preparation of unsymmetrically substituted squaraines XQY — general procedure

3.7.1. Method A

A mixture of the appropriate nucleophilic compound **YH** (0.01 mol) and the semisquaric acid **QXOH** (0.01 mol), dissolved in toluene (25 ml) and *n*-butanol (25 ml) was heated by using a Dean–Stark trap for 0.5–2 h. After cooling the product formed was isolated by filtration, washed with ether, and dried at 80°C.

3.7.2. *Method B*

To a solution or a suspension of a semisquaric acid (**QXOH**, 0.01 mol) in a mixture of toluene (25 ml) and *n*-butanol (25 ml) heated at about 80–85°C the appropriate nucleophilic compound (**YH**, 0.01 mol), solved in a mixture of toluene (25 ml) and *n*-butanol (25 ml), was added dropwise under argon and refluxed by using a Dean–Stark trap. After cooling at room temperature the product formed crystallises from the reaction mixture. It was isolated by filtration, washed with ether, and dried at 80°C.

3.7.3. *Method C*

To a solution or suspension of the appropriate nucleophilic compound (YH, 0.01 mol) in a mixture of toluene (25 ml) and 1-butanol (25 ml) the appropriate semisquaric acid (QXOH, 0.01 mol), dissolved or suspended in mixture of toluene (25 ml) and *n*-butanol (25 ml), was added dropwise or in small portions, respectively, under argon and refluxed by using a Dean–Stark trap. After cooling at room temperature the product formed crystallises from the reaction mixture. It was isolated by filtration, washed with ether, and dried at 80°C.

3.7.4. Method D

To a mixture of the appropriate symmetrically substituted squaraine **XQX** (0.001 mol) in 1-butanol (20 ml) the nucleophilic compound **YH** (0.001 mol) required, dissolved in 1-butanol (10 ml), was added at once. Then, the resulting mixture was heated for 1 h. After cooling, the product formed was isolated by filtration, washed with dietehyl ether, and dried at 80°C. The unsymmetrically substituted squaraines **XQY**, prepared by means of one of the previous methods, are collected in Table 2.

3.8. Preparation of 2,4-bis-(4-methoxyphenyl)-cyclobutenium-1,3-diolate ($C^{I}QC^{I}$)

3.8.1. 2,4-Bis-(4-methoxyphenyl)-3-(4-methoxyphenylacetoxy)-cyclobutenone

To a mixture of 4-methoxyphenacetylchloride (19 g, 0.103 mol) in anhydrous diethyl ether (170 ml) triethylamine (9.4 g, 0.093 mol), dissolved in anhydrous diethyl ether (170 ml) was added dropwise under stirring for 2 h. After further stirring for 45 min at room temperature the mixture was filtered, and the filtrate is concentrated in a vacuum. The residue crystallised is isolated by filtration and dried. This was obtained in a yield 3.5 g (23%); m.p. 110–112°C (114–114.5°C) [14].

3.8.2. 2,4-Bis-(4-methoxyphenyl)-3-hydroxy-cyclobutenone

A mixture of 2,4-bis-(4-methoxyphenyl)-3-(4-methoxyphenylacetoxy)-cyclobutenone (0.89 g, 2 mmol), ethanol (20 ml), water (20 ml), sodium hydroxide (1 g) was heated under stirring at 40°C

for 0.5 h. After acidification of the reaction mixture with conc. hydrochloric acid, the product formed crystallises. It was isolated by filtration and purified by recrystallisation from acetone. The product was obtained in a yield 0.3 g, (51%); m.p. 150–155°C; (152–154°C) [14].

3.8.3. 2,4-Bis-(4-methoxyphenyl)-cyclobutendylium-1,3-diolate ($C^{I}QC^{I}$)

To a solution of 2,4-bis-(4-methoxyphenyl)-3-hydroxycyclobutenone (0.5 g, 1.68 mmol) in dichloromethane (100 ml) a mixture of bromine (0.32 g, 2 mmol) and dichloromethane (20 ml) was added under stirring. The product formed crystallises during the bromine addition. It was isolated by filtration, washed with diethyl ether, and dried at 60°C. The product was obtained in a yield of 0.1 g (20%); m.p. 230°C (dec.); (212–214°C) [31].

3.9. Preparation of 1,2-bis-(2-N,N-dimethylamino-5-thienyl)-cyclobuten-3,4-dione $Q(K^1)_2$

To a solution of 2-chloro-1-(2-N,N-dimethylamino-5-thieny)-cyclobuten-3,4-dione (QK¹Cl, 605 mg, 2.5 mmol) in chlorobenzene (100 ml), a mixture of 2-N,N-dimethylaminothiophene (636 mg, 5 mmol) and chlorobenzene (50 ml) was added dropwise. After refluxing for 7 h the resulting mixture was filtrated and the solution was cooled in a refrigerator. The product crystallised after standing over night was isolated by filtration, washed with diethyl ether, and recrystallised from CHCl₃. It was obtained in a yield of 0.2 g (24%); m.p. 244°C. λ_{max} , in nm, (log ε), in chloroform: 369 (4.49), 426 (4.15), 523 (4.74). IR (KBr): 1745, 1713 cm⁻¹; ¹H-NMR ([d_6]DMSO): $\delta = 3.14$ (s, 12H, NCH₃), 6.35 (d, 1H, CH), 7.96 (d, 1H, CH). C₁₆H₁₆N₂O₂S₂ (332.45); calcd.: C 57.81 H 4.85 N 8.43 S 19.29; found C 57.72 H 4.85 N 8.58 S18.63.

3.10. Condensation of equimolar mixtures of squaric acid $Q(OH)_2$ with two different nucleophilic components XH and YH and analysis of the product formed

In a mixture of 1-butanol (150 ml) and benzene (100 ml) squaric acid (1.14 g, 0.01 mol) was

added and the resulting mixture was heated at 80°C. At this temperature an equimolar mixture (0.01 mol) of two different nucleophilic compounds XH and YH, dissolved in benzene (50 ml), was added at once under stirring. In intervals of 1, 2, 5, 10, 30 and 60 min small portions (0.2 ml) of the solution were separated and analysed by means of UV-vis spectroscopy and thin-layer chromatography using silica 60 (Merck, Germany) and a mixture of toluene (10 ml), methanol (1 ml), and cyclo-hexane (1 ml) as eluent. The squaraines formed were detected visually. The carbocyclic or heterocyclic compounds used as educts were detected by means of an UV-lamp on the chromatographic substrate. For a quantitative estimation of the products formed the reaction probes were analysed by UV-vis spectroscopy by measuring their extinctions at wavelengths which are characteristic for the longest-wavelength maxima of the possible squaraines XQY, XQX, and YQY.

3.11. Preparation of a dye dispersion

A mixture consisting of the corresponding squaraine dye **XQY** (0.6 g), polyvinylbutyral (0.6 g), and THF (25 ml) was turbined in a ball-mill at 3000 rpm for 4 h. Then, the mixture was poured on a polyester sheet to a thickness of about 1–2 µm and dried for 12 h at 60°C. Finally, the spectral properties of the layers obtained were analysed spectroscopically.

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